

Delegation of Authority Matrix for The University of Texas Health Science Center at Houston

Delegated Authority	Board of Regents' Rules and Regulations	From Primary Delegate	To Secondary Delegates	Effective Date of Delegation
<p>GIFTS Delegation of authority to accept conforming gifts and pledges (other than gifts of real property or outdoor works of art) of any amount, except bequests, planned gifts, or gifts given to or amend an endowment or a fund functioning as an endowment.</p>	Rule 60101, Section 5	Larry R. Kaiser, M.D., President	<p>Susan H. Coulter, Vice President, Institutional Advancement</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p>	<p>May 1, 2009</p> <p>May 1, 2009</p>
<p>GIFTS OF SECURITIES Delegation of authority to accept gifts of securities provided that each gift is processed in accordance with all applicable laws, Board of Regents' Rules, policies, and the U.T. System Gift Acceptance Procedures, and is not a bequest, an interest in trust, a gift or other fund to establish or amend an endowment or quasi-endowment or other planned gift (such as a Charitable Remainder Trust) as defined in the U.T. System Gift Acceptance Procedures. Gifts of marketable securities must be transferred and sold through the Office of Development and Gift Planning Services at UT System prior to acceptance. Closely-held securities must be reviewed and processed by the Office of Development and Gift Planning Services prior to acceptance.</p>	Rule 60101, Sections 5 and 7	Larry R. Kaiser, M.D., President	<p>Susan H. Coulter, Vice President, Institutional Advancement</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p>	<p>May 1, 2009</p> <p>May 1, 2009</p>

This chart provides only a brief description of the authority being delegated. Delegates will need to review relevant Regents' Rules and UT System Policies detailing the scope and restrictions related to each delegation. Delegations detailed herein may not be further delegated. All authority to execute and deliver contracts, agreements, instruments and other documents is subject to compliance with all applicable laws and regulations and all applicable provisions of the Regents' Rules.

<p>IRS FORMS Delegation of authority to execute certain IRS forms relating to gifts, including without limitation IRS Forms 8283 and 8282. This authority extends only to necessary IRS forms relating to gifts accepted on behalf of UTHSC-Houston.</p>	<p>Rule 60101, Section 8</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Susan H. Coulter, Vice President, Institutional Advancement Kevin Dillon, EVP, Chief Operating and Financial Officer Devin Longuet, J.D., Legal Officer</p>	<p>August 1, 2008 August 1, 2008 August 1, 2008</p>
<p>CONTRACTS FOR BANKING SERVICES Delegation of authority to execute and deliver contracts for banking services. Authority extends only to contracts for banking services with banks that have a depository agreement with the Board of Regents of U.T. System.</p>	<p>Rule 22201, Section 5.1 and Rule 10501, Section 2</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer Laura Smith, Vice President, Finance and Legislative Affairs Michael Tramonte, Vice President, Finance and Business Services</p>	<p>August 1, 2008 August 1, 2008 August 1, 2008</p>
<p>AFFILIATION AND PROGRAM AGREEMENTS Delegation of authority to execute and deliver affiliation and program agreements for educational experiences of students and residents.</p>	<p>Rule 10501, Section 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Maximilian Buja, M.D., EVP for Academic Affairs Kevin Dillon, EVP, Chief Operating and Financial Officer</p>	<p>August 1, 2008 August 1, 2008</p>

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<p>SPACE LEASE AGREEMENTS Delegation of authority to execute and deliver space lease agreements with a value of \$1,000,000 or less for the purpose of leasing space for use by the institution for institutional purposes. Certain Space Lease Agreements that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Rule 10501, Sections 1, 2, 3, 4, and 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer Richard McDermott, VP, Facilities, Planning and Engineering</p>	<p>August 1, 2008 August 1, 2008</p>
<p>CAMPUS OFFICE LEASES **Delegation of authority to execute and deliver Campus Office Leases, including authority to execute and deliver amendments to Campus Office Leases. (Campus Office Lease - a lease of space in a U.T. building for which the Board of Regents is the lessor (landlord) and a third party or other U.T. institution is the lessee (tenant), under which the lessee will use the leased space for general office uses only.)</p>	<p>Rule 70301, Part 2, Section 4; Rule 10501, Part 2, Section 6; UTS145; and Article III, § 51 of the Texas Constitution</p>	<p>Scott C. Kelley, Executive Vice Chancellor for Business Affairs, UT System</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer (Chief Business Officer)</p>	<p>August 1, 2008</p>
<p>**This is a brief summary of Executive Vice Chancellor Scott Kelley's delegation of authority related to Campus Office Leases to UTHSC-Houston's Chief Business Officer Kevin Dillon dated March 2, 2005. For a copy of Mr. Kelley's letter, contact the office of the EVP, Chief Operating and Financial Officer.</p>				

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<p>STATE AND FEDERAL CONTRACTS Delegation of authority to execute and deliver state and federal contracts or proposals for sponsored research that grant an interest in intellectual property on behalf of the Board. Non-governmental agreements for more than \$1,000,000 must be approved by the appropriate Executive Vice Chancellor. Any financial commitment or matching requirements and/or additional space required to conduct this research must have the approval of the EVP, Chief Operating and Financial Officer, Kevin Dillon.</p>	<p>Rule 10501, Sections 2 and 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Peter J. A. Davies, M.D., Ph.D., EVP for Research</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Whitney Houston, Grants Director, Office of Sponsored Projects</p> <p>Johnna Kincaid, Executive Director, Sponsored Projects Administration</p> <p>Jodi Ogden, Contracts Director, Office of Sponsored Projects</p> <p>Laura Smith, Vice President, Finance and Legislative Affairs</p>	<p>August 1, 2008</p> <p>August 1, 2008</p> <p>September 1, 2009</p> <p>August 1, 2008</p> <p>August 1, 2008</p> <p>August 1, 2008</p>
<p>CONFIDENTIAL DISCLOSURE AGREEMENTS Delegation of authority to execute and deliver confidential disclosure agreements that relate to intellectual property matters at The University of Texas Health Science Center at Houston. This authority does not cover or extend to agreements that grant to a third party an interest in intellectual property owned or controlled by the Board.</p>	<p>Rule 10501 and Rule 90105, Sections 1 and 3</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Bruce D. Butler, Ph.D., Director, Office of Technology Management</p> <p>Peter J. A. Davies, M.D., Ph.D., EVP for Research</p> <p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p>	<p>August 1, 2008</p> <p>August 1, 2008</p> <p>August 1, 2008</p>

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<p>PURCHASE ORDERS Delegation of authority to execute and deliver purchase orders for the routine purchase of equipment, supplies, goods, and services. Certain purchase orders that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Rule 10501, Sections 1, 2, 3, 4, and 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer (up to \$1,000,000)</p>	<p>August 1, 2008</p>
			<p>Jerry Fuller, AVP, Procurement (up to \$1,000,000)</p>	<p>August 1, 2008</p>
			<p>Richard Rawson, Director, Purchasing (up to \$1,000,000)</p>	<p>August 1, 2008</p>
			<p><i>Purchases by Procurement:</i> Contract Managers (up to \$500,000)</p>	<p>August 1, 2008</p>
			<p>Contract Administrators (up to \$250,000)</p>	<p>August 1, 2008</p>
			<p>Senior Buyers (up to \$100,000)</p>	<p>August 1, 2008</p>
			<p>Buyers (up to \$100,000)</p>	<p>August 1, 2008</p>

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<p>PURCHASE ORDERS (Continued) Authority to Execute and Deliver Purchase Orders for the routine purchase of equipment, supplies, goods, and services. Certain purchase orders that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Rule 10501, Sections 1, 2, 3, 4, and 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p><i>Purchases by Auxiliary Enterprises:</i> Michael Liske, Director, Parking and Shuttle Services (up to \$50,000)</p> <p>Diane Collard, Manager, Food and Travel Services, and Mobility Program Coordinator (up to \$50,000)</p> <p>Charlie Figari, VP and Chief Auxiliary Enterprise Officer (up to \$1,000,000)</p> <p>Ronda Gillie, Asst. to the VP and Chief Auxiliary Enterprise Officer (up to \$10,000)</p> <p>Robert Lozano, Property Leasing Manager, (up to \$50,000)</p> <p><i>University Housing:</i> William Hinton, Director, Housing (up to \$50,000)</p> <p>Natanael M. Reyes, Maintenance Supervisor, Housing (up to \$25,000)</p> <p><i>Mail Services for Postage:</i> Wendy Payne, Director (up to \$40,000)</p>	<p>August 1, 2008</p> <p>August 1, 2008</p> <p>August 1, 2008</p> <p>August 1, 2008</p> <p>August 1, 2008</p> <p>April 1, 2009</p> <p>August 1, 2008</p> <p>August 1, 2008</p>
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<p>PURCHASE ORDERS (Continued) Authority to Execute and Deliver Purchase Orders for the routine purchase of equipment, supplies, goods, and services. Certain purchase orders that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas</p>	<p>Rule 10501, Sections 1, 2, 3, 4, and 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p><i>Printing Services:</i> Donna Horbelt, Director (up to \$75,000) Section 21, Article 16, Texas Constitution</p> <p><i>Recreation Center:</i> Pauline Habetz, Director, Recreation and Intramural Program (up to \$25,000)</p>	<p>August 1, 2008</p> <p>August 1, 2008</p>
<p>CONTRACTS WITH SYSTEM OR AMONG UT INSTITUTIONS Delegation of authority to execute and deliver contracts or agreements with U.T. System Administration or between or among institutions of The University of Texas System for resources or services. Any such contract or agreement shall provide for the recovery of the cost of services and resources furnished. Certain contracts that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Rule 10501, Sections 1, 2, 3, 4, and 5.3</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Devin Longuet, J.D., Legal Officer</p> <p>Laura Smith, Vice President, Finance and Legislative Affairs</p>	<p>August 1, 2008</p> <p>August 1, 2008</p> <p>August 1, 2008</p>

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<p>NEW CONSTRUCTION AND REPAIR AND REHABILITATION—MINOR PROJECTS Delegation of authority relating to new construction projects of \$1,000,000 or less and for repair and rehabilitation projects of \$2,000,000 or less. Applies to authority to (1) appoint architects, approve plans and construction documents, and execute and deliver related contracts, agreements, guaranteed maximum price or stipulated sum proposals, and other documents, and (2) execute and deliver contracts and agreements with architects, engineers and other professional service providers.</p>	<p>Rule 80403 and Rule 10501, Section 2</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer Charlie Figari, VP and Chief Auxiliary Enterprise Officer Jerry Fuller, AVP, Procurement Richard McDermott, VP Facilities, Planning and Engineering</p>	<p>August 1, 2008 August 1, 2008 August 1, 2008 August 1, 2008</p>
<p>NEW CONSTRUCTION AND REPAIR AND REHABILITATION—MAJOR PROJECTS Delegation of authority relating to new construction projects greater than \$1,000,000 and for repair and rehabilitation projects greater than \$2,000,000 when institutional management of those projects has been authorized by the Board of Regents. Applies to authority to (1) appoint architects, approve plans and construction documents, and execute and deliver related contracts, agreements, guaranteed maximum price or stipulated sum proposals, and other documents, and (2) execute and deliver contracts and agreements with architects, engineers and other professional service providers.</p>	<p>Rule 80404, Section 4.1</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p>	<p>September 1, 2008</p>

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<p>CONTRACTS OF ANY KIND Delegation of authority to execute and deliver contracts of any kind or nature, including contracts for the purchase of equipment, supplies, goods, and services, but not including contracts for consulting services for more than \$1,000,000. Certain contracts that are of such significance as to require the prior approval of the Board of Regents or have a value of more than \$1,000,000 must be approved by the Board of Regents prior to execution or contain an appropriate limitation like the following statement: This contract is not effective until approved by the Board of Regents of The University of Texas System.</p>	<p>Rule 10501, Sections 1, 2, 3, 4, and 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p><i>Contracts for Auxiliary Enterprises:</i> Charlie Figari, VP and Chief Auxiliary Enterprise Officer (up to \$1,000,000)</p>	<p>August 1, 2008</p> <p>August 1, 2008</p>
<p>CONFIRMATION DOCUMENTS UNDER ELECTRIC SALES AGREEMENT Delegation of authority to enter into verbal agreements and execute and deliver confirmation documents authorized under that certain Electric Sales Agreement dated April 1, 2006, and entered into by and between the Texas General Land Office, Reliant Energy Retail Services, LLC d/b/a Reliant Energy Solutions, Reliant Energy, Inc. and The University of Texas Health Science Center at Houston.</p> <p>The Electric Sales Agreement was approved by the Chancellor's delegate, Dr. Scott Kelly, Executive Vice Chancellor of Business Affairs, for The University of Texas System, pursuant to Rule 10501, Sections 4.10 of the Regents' <u>Rules</u>.</p>	<p>Rule 10501, Section 5</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Jerry Fuller, AVP Procurement</p> <p>Richard Rawson, Director of Purchasing</p>	<p>August 1, 2008</p> <p>August 1, 2008</p>

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<p>LICENSE AGREEMENTS Delegation of authority to execute and deliver license agreements and other conveyances of intellectual property owned or controlled by the Board. Non-governmental agreements for more than \$1,000,000 must be approved by the appropriate Executive Vice Chancellor.</p>	<p>Rule 10501 and Rule 90105, Sections 1 and 3</p>	<p>Larry R. Kaiser, M.D., President</p>	<p>Kevin Dillon, EVP, Chief Operating and Financial Officer</p> <p>Laura Smith, Vice President, Finance and Legislative Affairs</p>	<p>August 1, 2008</p> <p>August 1, 2008</p>

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